

Business Authorized Signer CIP/CDD PROFILE

Complete a CIP Profile for each authorizer signer on the account

(Complete Consumer CIP Profile if signer maintains personal deposit or loan account with our bank)
 (Form can be utilized for loan personal guarantor. Complete Business Guarantor CIP/CDD Profile form for loan business guarantor.)

<input type="radio"/> NEW ACCOUNT RELATIONSHIP	<input type="radio"/> EXISTING ACCOUNT RELATIONSHIP
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SECTION ONE	INFORMATION
CUSTOMER NAME (AS IT APPEARS ON PRIMARY IDENTIFICATION PROVIDED)	
PERMANENT PHYSICAL ADDRESS	
MAILING ADDRESS (if different from above)	
SOCIAL SECURITY NUMBER (SSN) OR INDIVIDUAL TAYPAYER IDENTIFICATION NUMBER (ITIN)	
DATE OF BIRTH and PLACE OF BIRTH	
MOTHER'S MAIDEN NAME	
HOME PHONE	
WORK PHONE AND/OR CELL PHONE	
EMAIL ADDRESS	
OCCUPATION	
EMPLOYER	

SECTION TWO	DOCUMENTS
ACCEPTABLE PRIMARY DOCUMENTS	ACCEPTABLE SECONDARY DOCUMENTS
<input type="radio"/> DRIVER'S LICENSE # _____ STATE _____ ISSUE _____ EXP _____ <input type="radio"/> NONDRIVER'S IDENTIFICATION CARD # _____ STATE _____ ISSUE _____ EXP _____ <input type="radio"/> PASSPORT # _____ COUNTRY _____ ISSUE _____ EXP _____ <input type="radio"/> ALIEN REGISTRATION CARD # _____ ISSUE _____ EXP _____ <input type="radio"/> U S MILITARY CARD # _____ TYPE _____ ISSUE _____ EXP _____	<input type="radio"/> Birth Certificate <input type="radio"/> Company Issued Identification <input type="radio"/> Credit Cards (only record the last 4 digits) <input type="radio"/> Insurance Card <input type="radio"/> Social Security Card <input type="radio"/> Student Identification Card <i>Number if any</i> _____ <i>Issue Date if any</i> _____ <i>Expiration Date if any</i> _____ <u>Reason Secondary Document waived (cannot be waived for a new customer referred by an outside 3rd party):</u> <input type="radio"/> Existing Customer <input type="radio"/> Customer well known to account officer Secondary Documents Waived By (an Account Officer, CSM, ACSM, New Account Officer, Regional Ops Officer, or above only): _____ / _____ (name and title) (initial)

FOR BANK USE ONLY

SECTION THREE	VERIFICATION
NONDOCUMENT VERIFICATION	<input type="radio"/> ChexSystems, telecheck, and/or credit reports <input type="radio"/> Letter of welcome and customer telephone call <input type="radio"/> Previous bank reference <input type="radio"/> Site visit
OFAC CHECK	<input type="radio"/> No match <input type="radio"/> Match If match, false positive or complete match Results: _____ (complete or close match – refer to BSA department immediately) (other false positive – maintain printouts for documentation purpose)
Any additional comments on resolving discrepancy or conflict in documents:	

SECTION FOUR	FACTORS
Type of customer: <input type="radio"/> New <input type="radio"/> Existing customer adding new service <input type="radio"/> Existing customer, other: _____	Type of person: <input type="radio"/> Politically Exposed Person (PEP) <ul style="list-style-type: none"> <input type="radio"/> current or former senior official in the executive, legislative, administrative, military, or judicial branch of a government <input type="radio"/> a senior official of a major political party <input type="radio"/> a senior executive of a government-owned commercial enterprise, being a corporation, business or other entity formed by or for the benefit of any such individual <input type="radio"/> an immediate family member of such individual; meaning spouse, parents, siblings, children, and spouse's parents or siblings <input type="radio"/> any individual publicly known (or actually known by the relevant financial institution) to be a close personal or professional associate. <input type="radio"/> Non PEP
Type of residency: <input type="radio"/> U S Citizen or resident alien <input type="radio"/> Nonresident alien (complete Section FIVE)	Location of customer: <input type="radio"/> HIDTA/HIFCA County (Refer to High Risk Geography List) <input type="radio"/> Non HIDTA/HIFCA County

SECTION FIVE	ADDITIONAL NON-RESIDENT ALIEN'S DATA (Attach W-8)
If any: U. S. CONTACT INDIVIDUAL NAME EMPLOYMENT / STUDENT INFORMATION	_____ _____
EXPANDED PRIMARY IDENTIFICATION FOR NON-RESIDENT ALIENS <i>(Must provide two forms of identification, and one MUST have picture, description and signature)</i>	<input type="radio"/> Passport <input type="radio"/> Mexico Matricular Card <input type="radio"/> National Identification Card (e.g., Canadian Citizen ID) <input type="radio"/> Consular ID Card <input type="radio"/> International or from their country Driver's License <input type="radio"/> Birth Certificate <input type="radio"/> Temporary Resident Card (Form I-688) <input type="radio"/> Employment Authorization Card (Form I-688A, I-688B, I-766) <input type="radio"/> Nonimmigrant Visa & Border Crossing Card <input type="radio"/> Refugee Travel Document (Form I-571) <input type="radio"/> Visas Number _____ Nationality _____ Issue Date _____ Expiration Date _____

SECTION FIVE (CON'T)	ADDITIONAL NON-RESIDENT ALIEN'S DATA
NATIONALITY	
COUNTRY OF RESIDENCE	
Does document verify residence and/or nationality?	<input type="radio"/> Yes, which one: <input type="radio"/> Nationality <input type="radio"/> Country of residence <input type="radio"/> No
Any additional comments on resolving discrepancy or conflict in documentary verification:	
REASON FOR BANKING IN THE U.S.	<input type="radio"/> Safety of U. S. Banking System <input type="radio"/> Family in the U. S. <input type="radio"/> Travel Frequently to the U.S. <input type="radio"/> Investment / Savings <input type="radio"/> Other _____

Bank Use Only	
_____ Account opened by / Date	_____ Reviewed for completion and approved by / Date